

## Administrator's Statement

9/10/2020 12:46:22PM

87th Regular Session, Agency Submission, Version 1  
Automated Budget and Evaluation System of Texas (ABEST)

### 514 Optometry Board

#### ADMINISTRATOR'S STATEMENT - 87th LEGISLATIVE SESSION

##### INTRODUCTION

The Texas Optometry Board, established in 1921 by the 37th Legislature, is the state health regulatory agency, charged with protecting the health, safety and welfare of the general public through administration of the Texas Optometry Act. The Board also serves as a source of information to the public, the profession, and governmental entities. The Optometry Act, Chapter 351 of the Texas Occupations Code, not only provides for the regulation of the optometric profession but contains provisions regarding ophthalmic dispensing. The Texas Optometry Board regulates approximately 4,950 professionals and sees a steady increase of new licensees every year.

The Texas Optometry Board is composed of nine members, six optometrists or therapeutic optometrists and three public members, appointed by the Governor with the advice and consent of the Senate, to serve six-year terms. The agency has 7 FTEs.

The current board members are listed below:

Board Member	Term	Hometown
Mario Guitierrez, O.D.	03/2011-01/2023	San Antonio
John Todd Cornett, O.D.	10/2019 - 01/2025	Amarillo
Judith Chambers	10/2019 - 01/2025	Austin
Meghan Schutte, O.D.	10/2019 - 01/2025	Austin
Carey A. Patrick, O.D.	04/2015 - 01/2021	Allen
Rene D. Pena	03/2015 - 01/2021	El Paso
Ronald L. Hopping, O.D., M.P.H.	04/2015 - 01/2021	Houston
Bill Thomspson, O.D.	07/2018 - 01/2023	Richardson
Ty Sheehan	07/2018 - 01/2023	San Antonio

##### OVERVIEW OF AGENCY

The Texas State Board of Examiners in Optometry and the Optometry Act was initially created in 1921 by the 37th Legislature. The 61st Legislature in 1969 changed the agency's name to Texas Optometry Board and amended the Optometry Act with major revisions, many of which are in effect today. In 1975, mandatory continuing education to ensure continued competency of optometrists was established. In 1981, following the agency's first Sunset Review, the 67th Legislature amended the Optometry Act to establish a nine-member board, with three of the members being from the public sector, with authority to promulgate procedural and substantive rules. Minor amendments to the Optometry Act occurred in 1985 and 1989, and in 1991, the 72nd Legislature amended the Optometry Act to provide for licensure as a therapeutic optometrist, allowing the treatment of certain conditions of the eye, removal of foreign bodies from the eye, and prescribing certain medications. In 1993, the second Sunset Review of the agency occurred, with substantive changes to the Optometry Act, primarily in the area of complaints and enforcement. In 1999, the 76th Legislature amended the Optometry Act to allow for prescribing of oral medications and treatment of glaucoma under certain conditions by those therapeutic optometrists licensed as optometric glaucoma specialists. Legislation also permitted application for license based on license in another state with requirements similar to Texas. The agency completed its fourth Sunset Review in the 85th Legislative Session. Only minor changes were made to the Optometry Act as a result of the agency's last Sunset Review.

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#### ACTIVITIES OF THE AGENCY

The agency works to timely, fairly and efficiently implement the provisions of the Texas Optometry Act (and other acts that directly affect the practice of optometry, such as the Contact Lens Prescription Act).

The implementation of the Optometry Act requires the following essential functions:

**Examination and Licensing:** The Texas Optometry Board continues to see a steady increase of new licensees and experiences peak licensure periods in June and July after May graduations. The Jurisprudence Examination is offered six times during the year and is required by law along with the four examinations administered by the National Board of Examiners in Optometry (NBEO) for licensure. Note, the Texas Optometry Board plans to integrate to an online application system. It is important to note that the Texas Optometry Board's licensing fee is already 75% or less below the national average.

**Renewals:** The Texas Optometry Board has started the process to shift licenses from an annual renewal to a biennial renewal. Beginning with license renewals for 2021, the license renewal period will be shifted to a two year period. For the 2021 renewal, a group of one-half of the licensees will renew for two years, and the other group of one-half for one year. Continuing education is mandatory and required for renewal. Note, the renewal process generates the majority of revenue for agency funding.

**Investigation and Enforcement:** The Texas Optometry Board serves to protect the public through the investigation of alleged violations and enforcement of the Texas Optometry Act as well as the Contact Lens Prescription Act.

**Investigations:** Investigations are always completed fairly and in a timely manner. The agency may resolve complaints by direct correspondence, informal mediation, informal settlement conferences and formal disciplinary hearings. The agency also investigates complaints of unlicensed practice as necessary and those matters are ultimately forwarded to the Office of the Attorney General for injunctive action.

**Peer Assistance:** Chapter 467 of the Health and Safety Code authorizes the agency to implement a Peer Assistance Program to assist licensees by providing a confidential recovery pathway when dealing with mental health or substance abuse issues. The program is operated independently of the Board for a contracted fee. Other professional boards use the same or similar service. The program is funded by licensee fees which is a total of \$47,000 annually. The agency currently has spending authority for \$36,000 for the peer assistance contract. The agency seeks continued spending authority up to \$47,000 for the peer assistance contract in FY22 and FY23.

**Inspections:** The agency has been conducting inspections of optometrists' offices for over forty years. Inspections are specifically authorized by Optometry Act §351.1575.

Lastly, the agency must continue to be mindful that optometry boards in other states have been subject to litigation regarding issues that are also present in Texas. Although litigation is sometimes planned, lawsuits may also be quite unexpected and place a strain on the resources of the agency.

#### EXCEPTIONAL ITEM REQUESTS (in priority order):

The Texas Optometry Board understands the difficult task the Legislature has when determining funding for agencies and programs. Agency staff and Board Members strive to fulfill the Board's mission by providing exceptional service to the citizens of Texas in the utmost fiscally responsible manner as possible. We respectfully

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request a baseline budget that enables us to continue the agency's success to date, while requesting a few exceptional items that are essential for the operation, growth and development of the agency and is a means to continue excellent service in public protection.

1. Relocation Expenses. The Texas Optometry Board will be moving in the Summer of 2022. The agency has been diligently working with the Texas Facilities Commission to obtain an accurate estimate of relocation expenses. At the time of this filing, we are still seeking and waiting on clarification of information and costs. The agency anticipates needing to amend the request for moving expenses once more information is obtained from the Texas Facilities Commission. It is our understanding that the Texas Facilities Commission will be moving our agency at no cost to the agency. However, at this time, we are seeking confirmation regarding this statement. If the Texas Facilities Commission does not assist with moving the agency, we have obtained an independent moving quote for contingency plan purposes. The independent moving quote for moving expenses only is \$5,104.

2. Technology Enhancements (relocation/estimate). Due to relocation in the Summer of 2022, the agency must make technology enhancements in order to be an operational agency. The itemized breakdown of technology enhancements, to date, include DIR server hosting at \$24,060 for FY22 and FY23 (\$1,900 \*12 for internet services; +15\*7 users \*12 for bandwidth services); and, Microsoft 365 licensing at \$1,260 for FY22 and FY23 (\$15.00/month/user - 7\*12\*15). Additionally, AV equipment, telecommunications cabling/equipment, installation costs, Data Room, switches, racks, cabinets and equipment are not included in the move. This item may be amended once additional information is received.

3. Furnishings (relocation/estimate). It has been suggested that state agencies purchase new, loose, furniture for relocation purposes. This will allow for a smoother transition as well as replacement for damaged pieces of furniture. Below is an estimated, itemized, breakdown (\*based on TCI estimates):

One(1) Private Office Desk, \$1,613.00\*;  
Four(4) Bookcases, \$495.00\*;  
Seven(7) Task Chairs, \$645.00\*;  
Three(3) Guest Chairs, \$288.00\*;  
Two (2) Dual Monitor Workstations, \$418\*;  
One (1) Interior Signage, \$150\*;  
One(1) Whiteboard, \$160.00;  
One(1) Conference Room Table, \$1,052.00\*; and,  
Twelve(12) Conference Room Chairs, \$2,700\*.

Note, at the time of this filing, it is unclear whether agencies will share conference room space and furnishings, similar to the Hobby building. Other tenant expenses that are not included with the move are as follows: security controls within tenant space, window treatments(shades) for interior glass, built-in file cabinets and anything upgraded beyond the basic finish. This item may be amended once additional information is received.

4. Health Professions Council. The agency is a member of the Health Professions Council. The council is funded by contributions from member agencies. Due to Sunset changes, the membership of the council has changed somewhat, which resulted in the reallocation of funds due. The agency's contribution for FY22 and FY23 has increased by \$5,000. The agency also participates, with other agencies, in a shared database maintained by the Health Professions Council. A database upgrade is essential because it will eliminate technical issues thereby reducing the agency's downtime and customer issues all while increasing productivity along with efficiency. Specifically, the database needs to be updated to the most current version. The database is shared with other agencies and due to an increase in the number of users, an

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additional computer programmer is needed. The requested amount is \$6,107 for FY22 and \$1,260 for FY23.

5. Merit Salary Increases. The Board values its employees and the contributions each individual makes to the agency. The agency's staff salaries are below average compared to other state agencies. The Board would like to provide merit increases based on performance to provide incentive for high performing staff. The Board respectfully requests \$7,000 for FY22 and \$7,000 FY23 for merit salary increases.

6. Partial Restoration of 5% Reduction. The Texas Optometry Board is one of the smallest regulatory agencies. During the last fiscal cycle, the agency's budget was reduced by five percent. The Board respectfully requests at least partial restoration of the five percent reduction in the baseline budget for FY22 and FY23. Specifically, the Board requests partial restoration to the budget for the following:

a. National Practitioner Data Bank (NPDB): The NPDB is waiving query fees for 2021, but they will be required in 2022 and 2023. The agency is statutorily required to check the NPDB for all licenses and therefore this fee is associated with the requirement. The requested amount is \$9,200 for FY22 and \$9,200 for FY23.

b. Computer Equipment/Replacement: The DIR life cycle for personal computers is three years. This requested allotment would allow the agency to replace a personal computer each year with a laptop. Currently, the agency only has one laptop which can become an issue when faced with issues such as COVID and telecommuting. The requested amount is \$2,500 for FY22 and \$2,500 for FY23.

c. Investigator/Inspector Travel: Board inspections must be conducted in person. The requested amount is 1,500 for FY22 and 1,500 for FY23.

**METHOD OF FINANCE**

The agency is self-funded, collecting fees from its licensees. The agency respectfully requests the Legislature to fund the exceptional items from the fees collected by the agency and not now included in the agency appropriation. Since the number of licensees continues to increase, the revenue deposited in the general fund in FY 2020-2021 will be an increase over the current biennium.

**RESPECTFULLY SUBMITTED**

The Board is cognizant of the state budget forecasts and has thoughtfully considered the requested exceptional items at the July 2020 Board meeting. The Board unanimously supports the continued base funding and the exceptional items outlined in this request. The Board respectfully submits this report for your consideration as a means to continue excellent service in public protection.

Kelly Parker  
Executive Director

**Budget Overview - Biennial Amounts**  
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Appropriation Years: 2022-23

	GENERAL REVENUE FUNDS		GR DEDICATED		FEDERAL FUNDS		OTHER FUNDS		ALL FUNDS		EXCEPTIONAL ITEM FUNDS
	2020-21	2022-23	2020-21	2022-23	2020-21	2022-23	2020-21	2022-23	2020-21	2022-23	2022-23
<b>Goal: 1. Manage Quality Program of Examination and Licensure, Enforce Statutes</b>											
1.1.1. Licensure And Enforcement	554,975	545,959					106,266	90,642	661,241	636,601	105,757
1.1.2. Texas.Gov	43,380	43,380							43,380	43,380	
1.1.3. National Practitioner Data Bank	9,092	18,184							9,092	18,184	18,184
1.1.4. Indirect Administration	206,124	210,808							206,124	210,808	700
1.1.5. Peer Assistance	72,000	94,000							72,000	94,000	
<b>Total, Goal</b>	<b>885,571</b>	<b>912,331</b>					<b>106,266</b>	<b>90,642</b>	<b>991,837</b>	<b>1,002,973</b>	<b>124,641</b>
<b>Total, Agency</b>	<b>885,571</b>	<b>912,331</b>					<b>106,266</b>	<b>90,642</b>	<b>991,837</b>	<b>1,002,973</b>	<b>124,641</b>
<b>Total FTEs</b>									<b>7.0</b>	<b>7.0</b>	<b>0.0</b>

2.A. Summary of Base Request by Strategy

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Goal / Objective / STRATEGY	Exp 2019	Est 2020	Bud 2021	Req 2022	Req 2023
<b>1</b> Manage Quality Program of Examination and Licensure, Enforce Statutes					
<b>1</b> Operate Licensing and Enforcement System to Ensure Standards Are Met					
<b>1</b> LICENSURE AND ENFORCEMENT	329,271	344,252	316,989	318,300	318,301
<b>2</b> TEXAS.GOV	22,960	23,610	19,770	21,690	21,690
<b>3</b> NATIONAL PRACTITIONER DATA BANK	9,092	9,092	0	9,092	9,092
<b>4</b> INDIRECT ADMINISTRATION	99,544	105,066	101,058	105,404	105,404
<b>5</b> PEER ASSISTANCE	36,000	36,000	36,000	47,000	47,000
TOTAL, GOAL <b>1</b>	<b>\$496,867</b>	<b>\$518,020</b>	<b>\$473,817</b>	<b>\$501,486</b>	<b>\$501,487</b>
TOTAL, AGENCY STRATEGY REQUEST	<b>\$496,867</b>	<b>\$518,020</b>	<b>\$473,817</b>	<b>\$501,486</b>	<b>\$501,487</b>
TOTAL, AGENCY RIDER APPROPRIATIONS REQUEST*				<b>\$0</b>	<b>\$0</b>
GRAND TOTAL, AGENCY REQUEST	<b>\$496,867</b>	<b>\$518,020</b>	<b>\$473,817</b>	<b>\$501,486</b>	<b>\$501,487</b>

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<b>Goal / Objective / STRATEGY</b>	<b>Exp 2019</b>	<b>Est 2020</b>	<b>Bud 2021</b>	<b>Req 2022</b>	<b>Req 2023</b>
<u>METHOD OF FINANCING:</u>					
<b>General Revenue Funds:</b>					
1 General Revenue Fund	440,642	457,075	428,496	456,165	456,166
<b>SUBTOTAL</b>	<b>\$440,642</b>	<b>\$457,075</b>	<b>\$428,496</b>	<b>\$456,165</b>	<b>\$456,166</b>
<b>Other Funds:</b>					
666 Appropriated Receipts	5,980	7,245	8,000	8,000	8,000
777 Interagency Contracts	50,245	53,700	37,321	37,321	37,321
<b>SUBTOTAL</b>	<b>\$56,225</b>	<b>\$60,945</b>	<b>\$45,321</b>	<b>\$45,321</b>	<b>\$45,321</b>
<b>TOTAL, METHOD OF FINANCING</b>	<b>\$496,867</b>	<b>\$518,020</b>	<b>\$473,817</b>	<b>\$501,486</b>	<b>\$501,487</b>

\*Rider appropriations for the historical years are included in the strategy amounts.

**2.B. Summary of Base Request by Method of Finance**

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Agency code: <b>514</b>		Agency name: <b>Optometry Board</b>				
METHOD OF FINANCING		Exp 2019	Est 2020	Bud 2021	Req 2022	Req 2023
<b><u>GENERAL REVENUE</u></b>						
<b><u>1</u></b> General Revenue Fund						
<i>REGULAR APPROPRIATIONS</i>						
Regular Appropriations from MOF Table (2018-19 GAA)		\$438,582	\$0	\$0	\$0	\$0
Regular Appropriations from MOF Table (2020-21 GAA)		\$0	\$462,946	\$462,947	\$0	\$0
Regular Appropriations		\$0	\$0	\$0	\$456,165	\$456,166
<b>Comments:</b> 2022-23 BLRequest						
<i>RIDER APPROPRIATION</i>						
Art IX, Sec 18.34, Contingency for SB314 (2018-19 GAA)		\$9,092	\$0	\$0	\$0	\$0
Art IX, Sec 9.05, Texas.gov Project: Occupational Licenses (2018-19 GAA)		\$4,335	\$0	\$0	\$0	\$0



**2.B. Summary of Base Request by Method of Finance**

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Agency code: <b>514</b>	Agency name: <b>Optometry Board</b>				
METHOD OF FINANCING	Exp 2019	Est 2020	Bud 2021	Req 2022	Req 2023
<b><u>GENERAL REVENUE</u></b>					
Art IX, Sec 9.05, Texas.gov Project: Occupational Licenses (2020-21 GAA)	\$0	\$2,380	\$0	\$0	\$0
<i>LAPSED APPROPRIATIONS</i>					
Regular Appropriations from MOF Table (2018-19 GAA)	\$(11,367)	\$0	\$0	\$0	\$0
Regular Appropriations from MOF Table (2021-22 GAA)	\$0	\$(1,451)	\$(1,451)	\$0	\$0
<b>Comments:</b> 5% Reduction: Item#1 Newsletter					
Regular Appropriations from MOF Table (2021-22 GAA)	\$0	\$(300)	\$(300)	\$0	\$0
<b>Comments:</b> 5% Reduction: Item #2 Calligraphy					
Regular Appropriations from MOF Table (2021-22 GAA)	\$0	\$0	\$(9,092)	\$0	\$0
<b>Comments:</b> 5% Reduction Item #3 NPDB (changed from \$9200 to \$9092. Change will affect Item #8 Board Meetings					

**2.B. Summary of Base Request by Method of Finance**

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Agency code: <b>514</b>	Agency name: <b>Optometry Board</b>				
METHOD OF FINANCING	Exp 2019	Est 2020	Bud 2021	Req 2022	Req 2023
<b><u>GENERAL REVENUE</u></b>					
Regular Appropriations from MOF Table (2021-22 GAA)	\$0	\$0	\$(5,000)	\$0	\$0
<b>Comments:</b> 5% Reduction: Item #4 Reduced E.D. Salary					
Regular Appropriations from MOF Table (2021-22 GAA)	\$0	\$(1,500)	\$(1,500)	\$0	\$0
<b>Comments:</b> 5% Reduction: Item #5 Inspector Travel					
Regular Appropriations from MOF Table (2021-22 GAA)	\$0	\$(5,000)	\$(5,000)	\$0	\$0
<b>Comments:</b> 5% Reduction: Item #6 Personal Computer					
Regular Appropriations from MOF Table (2021-22 GAA)	\$0	\$0	\$(8,000)	\$0	\$0
<b>Comments:</b> 5% Reduction: Item #7 Staff Merit					
Regular Appropriations from MOF Table (2021-22 GAA)	\$0	\$0	\$(4,108)	\$0	\$0
<b>Comments:</b> 5% Reduction: Item #8 Board Meetings (\$108 change from Item #3)					

**2.B. Summary of Base Request by Method of Finance**

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Agency code: <b>514</b>		Agency name: <b>Optometry Board</b>				
<b>METHOD OF FINANCING</b>		<b>Exp 2019</b>	<b>Est 2020</b>	<b>Bud 2021</b>	<b>Req 2022</b>	<b>Req 2023</b>
<b><u>GENERAL REVENUE</u></b>						
<b>TOTAL,</b>	<b>General Revenue Fund</b>					
		<b>\$440,642</b>	<b>\$457,075</b>	<b>\$428,496</b>	<b>\$456,165</b>	<b>\$456,166</b>
<b>TOTAL, ALL</b>	<b>GENERAL REVENUE</b>					
		<b>\$440,642</b>	<b>\$457,075</b>	<b>\$428,496</b>	<b>\$456,165</b>	<b>\$456,166</b>
<b><u>OTHER FUNDS</u></b>						
<b><u>666</u></b>	Appropriated Receipts					
	<i>REGULAR APPROPRIATIONS</i>					
	Regular Appropriations from MOF Table (2018-19 GAA)					
		\$8,000	\$0	\$0	\$0	\$0
	Regular Appropriations from MOF Table (2020-21 GAA)					
		\$0	\$8,000	\$8,000	\$0	\$0
	Regular Appropriations					
		\$0	\$0	\$0	\$8,000	\$8,000
	<b>Comments:</b> 2022-23 BLRequest					
	<i>RIDER APPROPRIATION</i>					
	Art IX, Sec 8.02, Reimbursements and Payments (2018-19 GAA)					
		\$(2,020)	\$0	\$0	\$0	\$0

**2.B. Summary of Base Request by Method of Finance**

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Agency code: <b>514</b>		Agency name: <b>Optometry Board</b>				
METHOD OF FINANCING		Exp 2019	Est 2020	Bud 2021	Req 2022	Req 2023
<b><u>OTHER FUNDS</u></b>						
Art IX, Sec 8.02, Reimbursements and Payments (2020-21 GAA)		\$0	\$(755)	\$0	\$0	\$0
<b>TOTAL,</b>	<b>Appropriated Receipts</b>	<b>\$5,980</b>	<b>\$7,245</b>	<b>\$8,000</b>	<b>\$8,000</b>	<b>\$8,000</b>
<b><u>777</u></b>	Interagency Contracts					
	<i>REGULAR APPROPRIATIONS</i>					
Regular Appropriations from MOF Table (2018-19 GAA)		\$37,321	\$0	\$0	\$0	\$0
Regular Appropriations from MOF Table (2020-21 GAA)		\$0	\$37,321	\$37,321	\$0	\$0
Regular Appropriations		\$0	\$0	\$0	\$37,321	\$37,321
<b>Comments:</b> 2022-23 BLRequest						
<i>RIDER APPROPRIATION</i>						
Art IX, Sec 8.02, Reimbursements and Payments (2018-19 GAA)						

**2.B. Summary of Base Request by Method of Finance**

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Agency code: <b>514</b>		Agency name: <b>Optometry Board</b>				
<b>METHOD OF FINANCING</b>		<b>Exp 2019</b>	<b>Est 2020</b>	<b>Bud 2021</b>	<b>Req 2022</b>	<b>Req 2023</b>
<b><u>OTHER FUNDS</u></b>						
		\$12,924	\$0	\$0	\$0	\$0
Art IX, Sec 8.02, Reimbursements and Payments (2020-21 GAA)						
		\$0	\$16,379	\$0	\$0	\$0
<b>TOTAL,</b>	<b>Interagency Contracts</b>	<b>\$50,245</b>	<b>\$53,700</b>	<b>\$37,321</b>	<b>\$37,321</b>	<b>\$37,321</b>
<b>TOTAL, ALL</b>	<b>OTHER FUNDS</b>	<b>\$56,225</b>	<b>\$60,945</b>	<b>\$45,321</b>	<b>\$45,321</b>	<b>\$45,321</b>
<b>GRAND TOTAL</b>		<b>\$496,867</b>	<b>\$518,020</b>	<b>\$473,817</b>	<b>\$501,486</b>	<b>\$501,487</b>
<b>FULL-TIME-EQUIVALENT POSITIONS</b>						
<b>REGULAR APPROPRIATIONS</b>						
Regular Appropriations from MOF Table (2018-19 GAA)		7.0	0.0	0.0	7.0	7.0
Regular Appropriations from MOF Table (2020-21 GAA)		0.0	7.0	7.0	0.0	0.0
<b>TOTAL, ADJUSTED FTES</b>		<b>7.0</b>	<b>7.0</b>	<b>7.0</b>	<b>7.0</b>	<b>7.0</b>

**2.B. Summary of Base Request by Method of Finance**

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Agency code:       **514**

Agency name:     **Optometry Board**

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**METHOD OF FINANCING**

**Exp 2019**

**Est 2020**

**Bud 2021**

**Req 2022**

**Req 2023**

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**NUMBER OF 100% FEDERALLY FUNDED  
FTEs**

**2.C. Summary of Base Request by Object of Expense**

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<b>OBJECT OF EXPENSE</b>	<b>Exp 2019</b>	<b>Est 2020</b>	<b>Bud 2021</b>	<b>BL 2022</b>	<b>BL 2023</b>
1001 SALARIES AND WAGES	\$321,841	\$345,062	\$342,912	\$342,600	\$342,600
1002 OTHER PERSONNEL COSTS	\$12,260	\$47,569	\$15,758	\$18,000	\$18,000
2001 PROFESSIONAL FEES AND SERVICES	\$38,815	\$38,000	\$38,800	\$49,900	\$49,900
2003 CONSUMABLE SUPPLIES	\$2,664	\$3,100	\$2,650	\$2,500	\$2,500
2004 UTILITIES	\$386	\$530	\$530	\$750	\$750
2005 TRAVEL	\$20,197	\$7,800	\$9,700	\$11,000	\$11,000
2006 RENT - BUILDING	\$180	\$180	\$180	\$200	\$200
2007 RENT - MACHINE AND OTHER	\$1,522	\$1,530	\$1,800	\$2,013	\$2,015
2009 OTHER OPERATING EXPENSE	\$93,660	\$74,249	\$61,487	\$74,523	\$74,522
5000 CAPITAL EXPENDITURES	\$5,342	\$0	\$0	\$0	\$0
<b>OOE Total (Excluding Riders)</b>	<b>\$496,867</b>	<b>\$518,020</b>	<b>\$473,817</b>	<b>\$501,486</b>	<b>\$501,487</b>
<b>OOE Total (Riders)</b>					
<b>Grand Total</b>	<b>\$496,867</b>	<b>\$518,020</b>	<b>\$473,817</b>	<b>\$501,486</b>	<b>\$501,487</b>

**2.D. Summary of Base Request Objective Outcomes**  
87th Regular Session, Agency Submission, Version 1  
Automated Budget and Evaluation system of Texas (ABEST)

9/10/2020 12:46:24PM

<b>514 Optometry Board</b>					
<b>Goal/ Objective / Outcome</b>	<b>Exp 2019</b>	<b>Est 2020</b>	<b>Bud 2021</b>	<b>BL 2022</b>	<b>BL 2023</b>
1    Manage Quality Program of Examination and Licensure, Enforce Statutes 1 <i>Operate Licensing and Enforcement System to Ensure Standards Are Met</i>					
<b>KEY</b> 1 <b>Percent of Licensees with No Recent Violations</b>					
	98.50%	98.00%	98.00%	98.00%	98.00%
2 <b>Percent of Complaints Resulting in Disciplinary Action</b>					
	14.00%	2.00%	2.00%	2.00%	2.00%
3 <b>Recidivism Rate for Those Receiving Disciplinary Action</b>					
	1.00%	0.00%	0.00%	0.00%	0.00%
4 <b>Percent of Documented Complaints Resolved within Six Months</b>					
	67.00%	76.00%	76.00%	76.00%	76.00%
5 <b>Percent Licensees Meeting Continuing Education Requirements</b>					
	100.00%	98.00%	98.00%	98.00%	98.00%
<b>KEY</b> 6 <b>Percent of Licensees Who Renew Online</b>					
	98.00%	90.00%	90.00%	90.00%	90.00%
7 <b>Percent of New Individual Licenses Issued Online</b>					
	0.00%	0.00%	0.00%	0.00%	0.00%
8 <b>Recidivism Rate for Peer Assistance Program</b>					
	0.00%	0.00%	0.00%	0.00%	0.00%
9 <b>One-Year Completion Rate for Peer Assistance Program</b>					
	0.00%	0.00%	0.00%	0.00%	0.00%



**2.E. Summary of Exceptional Items Request**  
87th Regular Session, Agency Submission, Version 1  
Automated Budget and Evaluation System of Texas (ABEST)

DATE: 9/10/2020  
TIME : 12:46:24PM

Agency code: **514**

Agency name: **Optometry Board**

Priority	Item	2022			2023			Biennium	
		GR and GR/GR Dedicated	All Funds	FTEs	GR and GR Dedicated	All Funds	FTEs	GR and GR Dedicated	All Funds
1	Relocation Expenses	\$5,100	\$5,100		\$0	\$0		\$5,100	\$5,100
2	Technology Enhancements (move)	\$24,060	\$24,060		\$24,060	\$24,060		\$48,120	\$48,120
3	Furnishing Expenses(move)	\$13,870	\$13,870		\$0	\$0		\$13,870	\$13,870
4	HPC Increase	\$5,000	\$5,000		\$5,000	\$5,000		\$10,000	\$10,000
5	HPC/Database	\$6,107	\$6,107		\$1,260	\$1,260		\$7,367	\$7,367
6	Merit Salary Increases	\$7,000	\$7,000		\$7,000	\$7,000		\$14,000	\$14,000
7	Partial Funding Restoration	\$13,092	\$13,092		\$13,092	\$13,092		\$26,184	\$26,184
<b>Total, Exceptional Items Request</b>		<b>\$74,229</b>	<b>\$74,229</b>		<b>\$50,412</b>	<b>\$50,412</b>		<b>\$124,641</b>	<b>\$124,641</b>

**Method of Financing**

General Revenue	\$74,229	\$74,229	\$50,412	\$50,412	\$124,641	\$124,641
General Revenue - Dedicated						
Federal Funds						
Other Funds						
	<b>\$74,229</b>	<b>\$74,229</b>	<b>\$50,412</b>	<b>\$50,412</b>	<b>\$124,641</b>	<b>\$124,641</b>

**Full Time Equivalent Positions**

**Number of 100% Federally Funded FTEs**

**2.F. Summary of Total Request by Strategy**  
87th Regular Session, Agency Submission, Version 1  
Automated Budget and Evaluation System of Texas (ABEST)

DATE : 9/10/2020  
TIME : 12:46:24PM

Agency code: 514	Agency name: Optometry Board					
Goal/Objective/STRATEGY	Base	Base	Exceptional	Exceptional	Total Request	Total Request
<b>1</b> Manage Quality Program of Examination and Licensure, Enforce Statu						
1 Operate Licensing and Enforcement System to Ensure Standards Are						
1 LICENSURE AND ENFORCEMENT	\$318,300	\$318,301	\$64,787	\$40,970	\$383,087	\$359,271
2 TEXAS.GOV	21,690	21,690	0	0	21,690	21,690
3 NATIONAL PRACTITIONER DATA BANK	9,092	9,092	9,092	9,092	18,184	18,184
4 INDIRECT ADMINISTRATION	105,404	105,404	350	350	105,754	105,754
5 PEER ASSISTANCE	47,000	47,000	0	0	47,000	47,000
<b>TOTAL, GOAL 1</b>	<b>\$501,486</b>	<b>\$501,487</b>	<b>\$74,229</b>	<b>\$50,412</b>	<b>\$575,715</b>	<b>\$551,899</b>
<b>TOTAL, AGENCY STRATEGY REQUEST</b>	<b>\$501,486</b>	<b>\$501,487</b>	<b>\$74,229</b>	<b>\$50,412</b>	<b>\$575,715</b>	<b>\$551,899</b>
<b>TOTAL, AGENCY RIDER APPROPRIATIONS REQUEST</b>						
<b>GRAND TOTAL, AGENCY REQUEST</b>	<b>\$501,486</b>	<b>\$501,487</b>	<b>\$74,229</b>	<b>\$50,412</b>	<b>\$575,715</b>	<b>\$551,899</b>

**2.F. Summary of Total Request by Strategy**  
87th Regular Session, Agency Submission, Version 1  
Automated Budget and Evaluation System of Texas (ABEST)

DATE : 9/10/2020  
TIME : 12:46:24PM

Agency code: 514		Agency name: Optometry Board					
Goal/Objective/STRATEGY		Base	Base	Exceptional	Exceptional	Total Request	Total Request
General Revenue Funds:							
1	General Revenue Fund	\$456,165	\$456,166	\$74,229	\$50,412	\$530,394	\$506,578
		\$456,165	\$456,166	\$74,229	\$50,412	\$530,394	\$506,578
Other Funds:							
666	Appropriated Receipts	8,000	8,000	0	0	8,000	8,000
777	Interagency Contracts	37,321	37,321	0	0	37,321	37,321
		\$45,321	\$45,321	\$0	\$0	\$45,321	\$45,321
TOTAL, METHOD OF FINANCING		\$501,486	\$501,487	\$74,229	\$50,412	\$575,715	\$551,899
FULL TIME EQUIVALENT POSITIONS		7.0	7.0	0.0	0.0	7.0	7.0

**2.G. Summary of Total Request Objective Outcomes**  
87th Regular Session, Agency Submission, Version 1  
Automated Budget and Evaluation system of Texas (ABEST)

Date : 9/10/2020  
Time: 12:46:25PM

Agency code: **514** Agency name: **Optometry Board**

Goal/ Objective / Outcome

		<b>BL 2022</b>	<b>BL 2023</b>	<b>Excp 2022</b>	<b>Excp 2023</b>	<b>Total Request 2022</b>	<b>Total Request 2023</b>
1	Manage Quality Program of Examination and Licensure, Enforce Statutes						
1	<i>Operate Licensing and Enforcement System to Ensure Standards Are Met</i>						
<b>KEY</b>	<b>1 Percent of Licensees with No Recent Violations</b>						
		98.00%	98.00%	97.00%	97.00%	97.00%	97.00%
	<b>2 Percent of Complaints Resulting in Disciplinary Action</b>						
		2.00%	2.00%	97.00%	97.00%	97.00%	97.00%
	<b>3 Recidivism Rate for Those Receiving Disciplinary Action</b>						
		0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
	<b>4 Percent of Documented Complaints Resolved within Six Months</b>						
		76.00%	76.00%	76.00%	76.00%	76.00%	76.00%
	<b>5 Percent Licensees Meeting Continuing Education Requirements</b>						
		98.00%	98.00%	98.00%	98.00%	98.00%	98.00%
<b>KEY</b>	<b>6 Percent of Licensees Who Renew Online</b>						
		90.00%	90.00%	90.00%	90.00%	90.00%	90.00%
	<b>7 Percent of New Individual Licenses Issued Online</b>						
		0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
	<b>8 Recidivism Rate for Peer Assistance Program</b>						
		0.00%	0.00%	0.00%	0.00%	0.00%	0.00%

**2.G. Summary of Total Request Objective Outcomes**  
 87th Regular Session, Agency Submission, Version 1  
 Automated Budget and Evaluation system of Texas (ABEST)

Date : 9/10/2020  
 Time: 12:46:25PM

Agency code: **514**                      Agency name: **Optometry Board**

Goal/ *Objective* / **Outcome**

	<b>BL 2022</b>	<b>BL 2023</b>	<b>Excp 2022</b>	<b>Excp 2023</b>	<b>Total Request 2022</b>	<b>Total Request 2023</b>
<hr/>						
<b>9 One-Year Completion Rate for Peer Assistance Program</b>						
	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%

**514 Optometry Board**

GOAL: 1 Manage Quality Program of Examination and Licensure, Enforce Statutes  
OBJECTIVE: 1 Operate Licensing and Enforcement System to Ensure Standards Are Met  
STRATEGY: 1 Operate an Efficient & Comprehensive Licensure & Enforcement System

Service Categories:

Service: 16 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2019	Est 2020	Bud 2021	BL 2022	BL 2023
<b>Output Measures:</b>						
KEY 1	Number of New Licenses Issued to Individuals	193.00	189.00	189.00	189.00	189.00
KEY 2	Number of Licenses Renewed (Individuals)	4,790.00	4,260.00	4,260.00	4,260.00	4,260.00
KEY 3	Number of Complaints Resolved	135.00	140.00	140.00	140.00	140.00
	4 Number of Continuing Education Courses Approved	1,088.00	650.00	650.00	650.00	650.00
KEY 5	Number of Investigations Conducted	63.00	63.00	63.00	63.00	63.00
<b>Efficiency Measures:</b>						
KEY 1	Average Time for Complaint Resolution	185.00	115.00	115.00	115.00	115.00
	2 Percent New Licenses Issued within 10 Days	100.00 %	100.00 %	100.00 %	100.00 %	100.00 %
	3 Percent of Individual License Renewals within Seven Days	100.00 %	95.00 %	95.00 %	95.00 %	95.00 %
<b>Explanatory/Input Measures:</b>						
	1 Total Number of Individuals Licensed	4,893.00	43,800.00	4,380.00	4,380.00	4,380.00
	2 Pass Rate	100.00 %	99.00 %	99.00 %	99.00 %	99.00 %
	3 Number of Jurisdictional Complaints Received	114.00	145.00	145.00	145.00	145.00
<b>Objects of Expense:</b>						
1001	SALARIES AND WAGES	\$230,566	\$250,412	\$246,912	\$245,000	\$245,000
1002	OTHER PERSONNEL COSTS	\$10,240	\$40,234	\$13,500	\$13,000	\$13,000

**514 Optometry Board**

GOAL: 1 Manage Quality Program of Examination and Licensure, Enforce Statutes  
OBJECTIVE: 1 Operate Licensing and Enforcement System to Ensure Standards Are Met  
STRATEGY: 1 Operate an Efficient & Comprehensive Licensure & Enforcement System

Service Categories:

Service: 16 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2019	Est 2020	Bud 2021	BL 2022	BL 2023
2001	PROFESSIONAL FEES AND SERVICES	\$2,815	\$2,000	\$2,800	\$2,900	\$2,900
2003	CONSUMABLE SUPPLIES	\$2,664	\$3,100	\$2,650	\$2,500	\$2,500
2004	UTILITIES	\$386	\$530	\$530	\$750	\$750
2005	TRAVEL	\$20,197	\$7,800	\$9,700	\$11,000	\$11,000
2006	RENT - BUILDING	\$180	\$180	\$180	\$200	\$200
2007	RENT - MACHINE AND OTHER	\$1,522	\$1,530	\$1,800	\$2,013	\$2,015
2009	OTHER OPERATING EXPENSE	\$55,359	\$38,466	\$38,917	\$40,937	\$40,936
5000	CAPITAL EXPENDITURES	\$5,342	\$0	\$0	\$0	\$0
<b>TOTAL, OBJECT OF EXPENSE</b>		<b>\$329,271</b>	<b>\$344,252</b>	<b>\$316,989</b>	<b>\$318,300</b>	<b>\$318,301</b>
<b>Method of Financing:</b>						
1	General Revenue Fund	\$273,046	\$283,307	\$271,668	\$272,979	\$272,980
<b>SUBTOTAL, MOF (GENERAL REVENUE FUNDS)</b>		<b>\$273,046</b>	<b>\$283,307</b>	<b>\$271,668</b>	<b>\$272,979</b>	<b>\$272,980</b>
<b>Method of Financing:</b>						
666	Appropriated Receipts	\$5,980	\$7,245	\$8,000	\$8,000	\$8,000
777	Interagency Contracts	\$50,245	\$53,700	\$37,321	\$37,321	\$37,321
<b>SUBTOTAL, MOF (OTHER FUNDS)</b>		<b>\$56,225</b>	<b>\$60,945</b>	<b>\$45,321</b>	<b>\$45,321</b>	<b>\$45,321</b>

**514 Optometry Board**

GOAL:	1	Manage Quality Program of Examination and Licensure, Enforce Statutes	
OBJECTIVE:	1	Operate Licensing and Enforcement System to Ensure Standards Are Met	Service Categories:
STRATEGY:	1	Operate an Efficient & Comprehensive Licensure & Enforcement System	Service: 16      Income: A.2      Age: B.3

CODE	DESCRIPTION	Exp 2019	Est 2020	Bud 2021	BL 2022	BL 2023
<b>TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)</b>					<b>\$318,300</b>	<b>\$318,301</b>
<b>TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)</b>		<b>\$329,271</b>	<b>\$344,252</b>	<b>\$316,989</b>	<b>\$318,300</b>	<b>\$318,301</b>
<b>FULL TIME EQUIVALENT POSITIONS:</b>		<b>4.5</b>	<b>4.5</b>	<b>4.5</b>	<b>4.5</b>	<b>4.5</b>

**STRATEGY DESCRIPTION AND JUSTIFICATION:**

The Texas Optometry Board is given the authority under Chapter 351, Occupations Code, to operate an efficient and comprehensive licensing program to include entry examination, issuance of licenses and renewal of licenses, including mandatory continuing education, as well as enforcement of the statutes, including taking disciplinary action against optometrists who have violated the law. This strategy demonstrates the agency's efforts in establishing and implementing reasonable standards to assure that optometrists are providing quality optometric care to the citizens of Texas. These efforts relate directly to the statewide goal of ensuring that Texas consumers are effectively and efficiently served by high quality professionals and businesses by setting clear standards, maintaining compliance, and seeking market based solutions. This strategy contributes to the agency's objective of operating a licensing and enforcement system for optometrists that will assure that 100% of the optometrists meet minimum compliance standards. Candidates for licensure must pass a national board examination and a State jurisprudence examination for licensure.

**EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:**

A majority of license applicants graduate from the optometry schools in Houston and San Antonio. The number of active licensees is expected to steadily increase. The agency continues to approve continuing education courses and require proof of completion before renewing licenses. The complexity of complaints is expected to rise slowly because of more licensees qualifying for increased practice authority. The agency contracts out peer assistance services. The agency is also required to inspect a certain number of offices each year.



**514 Optometry Board**

GOAL: 1 Manage Quality Program of Examination and Licensure, Enforce Statutes  
OBJECTIVE: 1 Operate Licensing and Enforcement System to Ensure Standards Are Met Service Categories:  
STRATEGY: 1 Operate an Efficient & Comprehensive Licensure & Enforcement System Service: 16 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2019	Est 2020	Bud 2021	BL 2022	BL 2023
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**EXPLANATION OF BIENNIAL CHANGE (includes Rider amounts):**

<u>STRATEGY BIENNIAL TOTAL - ALL FUNDS</u>		<u>BIENNIAL CHANGE</u>	<u>EXPLANATION OF BIENNIAL CHANGE</u>	
<u>Base Spending (Est 2020 + Bud 2021)</u>	<u>Baseline Request (BL 2022 + BL 2023)</u>		<u>\$ Amount</u>	<u>Explanation(s) of Amount (must specify MOFs and FTEs)</u>
\$661,241	\$636,601	\$(24,640)	\$(16,379)	Excess collected in 777 MOF in FY20
			\$755	Under collect in 666 MOF in FY20
			\$(37,942)	Five percent reduction for the BL22-23 Bienium
			\$7,913	5% Reduction for FY2020
			\$21,013	5% Reduction for FY2021
			<b>\$(24,640)</b>	<b>Total of Explanation of Biennial Change</b>

**514 Optometry Board**

GOAL: 1 Manage Quality Program of Examination and Licensure, Enforce Statutes  
OBJECTIVE: 1 Operate Licensing and Enforcement System to Ensure Standards Are Met  
STRATEGY: 2 Texas.gov. Estimated and Nontransferable

Service Categories:

Service: 16 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2019	Est 2020	Bud 2021	BL 2022	BL 2023
<b>Objects of Expense:</b>						
2009	OTHER OPERATING EXPENSE	\$22,960	\$23,610	\$19,770	\$21,690	\$21,690
<b>TOTAL, OBJECT OF EXPENSE</b>		<b>\$22,960</b>	<b>\$23,610</b>	<b>\$19,770</b>	<b>\$21,690</b>	<b>\$21,690</b>
<b>Method of Financing:</b>						
1	General Revenue Fund	\$22,960	\$23,610	\$19,770	\$21,690	\$21,690
<b>SUBTOTAL, MOF (GENERAL REVENUE FUNDS)</b>		<b>\$22,960</b>	<b>\$23,610</b>	<b>\$19,770</b>	<b>\$21,690</b>	<b>\$21,690</b>
<b>TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)</b>					<b>\$21,690</b>	<b>\$21,690</b>
<b>TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)</b>		<b>\$22,960</b>	<b>\$23,610</b>	<b>\$19,770</b>	<b>\$21,690</b>	<b>\$21,690</b>

**FULL TIME EQUIVALENT POSITIONS:**

**STRATEGY DESCRIPTION AND JUSTIFICATION:**

The use of Texas.Gov for license renewal increases the efficiency of the agency and gives licensees the choice to pay for renewal with a credit card.

**EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:**

The Sunset Commission has recommended that the agency utilize Texas.Gov for on-line license applications. The agency will be implementing such a program.

**3.A. Strategy Request**  
87th Regular Session, Agency Submission, Version 1  
Automated Budget and Evaluation System of Texas (ABEST)

9/10/2020 12:46:25PM

**514 Optometry Board**

GOAL: 1 Manage Quality Program of Examination and Licensure, Enforce Statutes  
OBJECTIVE: 1 Operate Licensing and Enforcement System to Ensure Standards Are Met  
STRATEGY: 2 Texas.gov. Estimated and Nontransferable

Service Categories:

Service: 16 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2019	Est 2020	Bud 2021	BL 2022	BL 2023
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**EXPLANATION OF BIENNIAL CHANGE (includes Rider amounts):**

<u>STRATEGY BIENNIAL TOTAL - ALL FUNDS</u>		<u>BIENNIAL CHANGE</u>	<u>EXPLANATION OF BIENNIAL CHANGE</u>	
<u>Base Spending (Est 2020 + Bud 2021)</u>	<u>Baseline Request (BL 2022 + BL 2023)</u>		<u>\$ Amount</u>	<u>Explanation(s) of Amount (must specify MOFs and FTEs)</u>
\$43,380	\$43,380	\$0		
			<b>\$0</b>	<b>Total of Explanation of Biennial Change</b>

**514 Optometry Board**

GOAL: 1 Manage Quality Program of Examination and Licensure, Enforce Statutes  
OBJECTIVE: 1 Operate Licensing and Enforcement System to Ensure Standards Are Met  
STRATEGY: 3 National Practitioner Data Bank. Estimated and Nontransferable.

Service Categories:

Service: 16 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2019	Est 2020	Bud 2021	BL 2022	BL 2023
<b>Objects of Expense:</b>						
2009	OTHER OPERATING EXPENSE	\$9,092	\$9,092	\$0	\$9,092	\$9,092
<b>TOTAL, OBJECT OF EXPENSE</b>		<b>\$9,092</b>	<b>\$9,092</b>	<b>\$0</b>	<b>\$9,092</b>	<b>\$9,092</b>
<b>Method of Financing:</b>						
1	General Revenue Fund	\$9,092	\$9,092	\$0	\$9,092	\$9,092
<b>SUBTOTAL, MOF (GENERAL REVENUE FUNDS)</b>		<b>\$9,092</b>	<b>\$9,092</b>	<b>\$0</b>	<b>\$9,092</b>	<b>\$9,092</b>
<b>TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)</b>					<b>\$9,092</b>	<b>\$9,092</b>
<b>TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)</b>		<b>\$9,092</b>	<b>\$9,092</b>	<b>\$0</b>	<b>\$9,092</b>	<b>\$9,092</b>

**FULL TIME EQUIVALENT POSITIONS:**

**STRATEGY DESCRIPTION AND JUSTIFICATION:**

Legislation passed in 2017 requires applicants and licensees to submit information on disciplinary action from a national practitioner databank. The agency queries the databank on behalf of licensees. Applicants are responsible for making the appropriate inquiry and supplying the information to the agency.

**EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:**

**514 Optometry Board**

GOAL: 1 Manage Quality Program of Examination and Licensure, Enforce Statutes  
OBJECTIVE: 1 Operate Licensing and Enforcement System to Ensure Standards Are Met Service Categories:  
STRATEGY: 3 National Practitioner Data Bank. Estimated and Nontransferable. Service: 16 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2019	Est 2020	Bud 2021	BL 2022	BL 2023
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The license renewal fee was increased to pay for the national practitioner databank inquiry. The federal government currently charges two dollars for each query, which must be done annually.

**EXPLANATION OF BIENNIAL CHANGE (includes Rider amounts):**

<u>STRATEGY BIENNIAL TOTAL - ALL FUNDS</u>		<u>BIENNIAL CHANGE</u>	<u>EXPLANATION OF BIENNIAL CHANGE</u>	
<u>Base Spending (Est 2020 + Bud 2021)</u>	<u>Baseline Request (BL 2022 + BL 2023)</u>		<u>\$ Amount</u>	<u>Explanation(s) of Amount (must specify MOFs and FTEs)</u>
\$9,092	\$18,184	\$9,092	\$9,092	5% Reduction for FY2021
			<b>\$9,092</b>	<b>Total of Explanation of Biennial Change</b>

**514 Optometry Board**

GOAL: 1 Manage Quality Program of Examination and Licensure, Enforce Statutes  
OBJECTIVE: 1 Operate Licensing and Enforcement System to Ensure Standards Are Met  
STRATEGY: 4 Indirect Administration

Service Categories:

Service: 09 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2019	Est 2020	Bud 2021	BL 2022	BL 2023
<b>Objects of Expense:</b>						
1001	SALARIES AND WAGES	\$91,275	\$94,650	\$96,000	\$97,600	\$97,600
1002	OTHER PERSONNEL COSTS	\$2,020	\$7,335	\$2,258	\$5,000	\$5,000
2005	TRAVEL	\$0	\$0	\$0	\$0	\$0
2009	OTHER OPERATING EXPENSE	\$6,249	\$3,081	\$2,800	\$2,804	\$2,804
<b>TOTAL, OBJECT OF EXPENSE</b>		<b>\$99,544</b>	<b>\$105,066</b>	<b>\$101,058</b>	<b>\$105,404</b>	<b>\$105,404</b>
<b>Method of Financing:</b>						
1	General Revenue Fund	\$99,544	\$105,066	\$101,058	\$105,404	\$105,404
<b>SUBTOTAL, MOF (GENERAL REVENUE FUNDS)</b>		<b>\$99,544</b>	<b>\$105,066</b>	<b>\$101,058</b>	<b>\$105,404</b>	<b>\$105,404</b>
<b>TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)</b>					<b>\$105,404</b>	<b>\$105,404</b>
<b>TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)</b>		<b>\$99,544</b>	<b>\$105,066</b>	<b>\$101,058</b>	<b>\$105,404</b>	<b>\$105,404</b>
<b>FULL TIME EQUIVALENT POSITIONS:</b>		<b>2.5</b>	<b>2.5</b>	<b>2.5</b>	<b>2.5</b>	<b>2.5</b>
<b>STRATEGY DESCRIPTION AND JUSTIFICATION:</b>						

**514 Optometry Board**

GOAL: 1 Manage Quality Program of Examination and Licensure, Enforce Statutes  
OBJECTIVE: 1 Operate Licensing and Enforcement System to Ensure Standards Are Met  
STRATEGY: 4 Indirect Administration

Service Categories:

Service: 09 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2019	Est 2020	Bud 2021	BL 2022	BL 2023
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The agency strives to limit administrative costs, but some administration costs are inevitable: reports (and statistics) must be generated, expenses and receipts accounted for, purchases must be made, employees hired and supervised, and information technology tools purchased and maintained. Efforts to limit these costs include flexibility on the duties performed by each employee so that one or two employees are not artificially limited to just administrative duties.

**EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:**

The number of FTE's performing administrative costs is listed at 2.5 for FY2020 & FY2021.

**EXPLANATION OF BIENNIAL CHANGE (includes Rider amounts):**

<u>STRATEGY BIENNIAL TOTAL - ALL FUNDS</u>		<u>BIENNIAL CHANGE</u>	<u>EXPLANATION OF BIENNIAL CHANGE</u>	
<u>Base Spending (Est 2020 + Bud 2021)</u>	<u>Baseline Request (BL 2022 + BL 2023)</u>		<u>\$ Amount</u>	<u>Explanation(s) of Amount (must specify MOFs and FTEs)</u>
\$206,124	\$210,808	\$4,684	\$338	5% Reduction for FY2020
			\$4,346	5% Reduction for FY2021
			<b>\$4,684</b>	<b>Total of Explanation of Biennial Change</b>

**514 Optometry Board**

GOAL: 1 Manage Quality Program of Examination and Licensure, Enforce Statutes  
OBJECTIVE: 1 Operate Licensing and Enforcement System to Ensure Standards Are Met  
STRATEGY: 5 Provide a Peer Assistance Program for Licensed Individuals

Service Categories:

Service: 16 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2019	Est 2020	Bud 2021	BL 2022	BL 2023
<b>Output Measures:</b>						
KEY 1	# of Licensed Individuals Participating in a Peer Assistance Program	0.00	0.00	2.00	2.00	2.00
<b>Objects of Expense:</b>						
2001	PROFESSIONAL FEES AND SERVICES	\$36,000	\$36,000	\$36,000	\$47,000	\$47,000
<b>TOTAL, OBJECT OF EXPENSE</b>		<b>\$36,000</b>	<b>\$36,000</b>	<b>\$36,000</b>	<b>\$47,000</b>	<b>\$47,000</b>
<b>Method of Financing:</b>						
1	General Revenue Fund	\$36,000	\$36,000	\$36,000	\$47,000	\$47,000
<b>SUBTOTAL, MOF (GENERAL REVENUE FUNDS)</b>		<b>\$36,000</b>	<b>\$36,000</b>	<b>\$36,000</b>	<b>\$47,000</b>	<b>\$47,000</b>
<b>TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)</b>					<b>\$47,000</b>	<b>\$47,000</b>
<b>TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)</b>		<b>\$36,000</b>	<b>\$36,000</b>	<b>\$36,000</b>	<b>\$47,000</b>	<b>\$47,000</b>
<b>FULL TIME EQUIVALENT POSITIONS:</b>						
<b>STRATEGY DESCRIPTION AND JUSTIFICATION:</b>						



**514 Optometry Board**

GOAL: 1 Manage Quality Program of Examination and Licensure, Enforce Statutes  
OBJECTIVE: 1 Operate Licensing and Enforcement System to Ensure Standards Are Met Service Categories:  
STRATEGY: 5 Provide a Peer Assistance Program for Licensed Individuals Service: 16 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2019	Est 2020	Bud 2021	BL 2022	BL 2023
------	-------------	----------	----------	----------	---------	---------

The Peer Assistance Program allows a licensee to seek assistance when the licensee's ability to perform a professional service is impaired by chemical dependency on drugs or alcohol, or by mental illness, from a program that directs the licensee to the appropriate assistance. The program monitors the referral. The agency contracts with a professional association to operate the program. Funding is through a statutorily authorized license renewal fee. The agency may also refer a licensee to the program.

**EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:**

Chapter 467 of the Health and Safety Code authorizes Peer Assistance Programs. The association operating the Peer Assistance assists with the placement of licensees in programs for substance abuse and mental health problems. The association monitors the compliance of licensees with a treatment program. If the licensee is not compliant, the licensee is referred to the agency for disciplinary action. The Health and Safety Code authorizes the agency to impose a fee up to \$10.00 per licensee for the program.

**EXPLANATION OF BIENNIAL CHANGE (includes Rider amounts):**

<u>STRATEGY BIENNIAL TOTAL - ALL FUNDS</u>		<u>BIENNIAL CHANGE</u>	<u>EXPLANATION OF BIENNIAL CHANGE</u>	
<u>Base Spending (Est 2020 + Bud 2021)</u>	<u>Baseline Request (BL 2022 + BL 2023)</u>		<u>\$ Amount</u>	<u>Explanation(s) of Amount (must specify MOFs and FTEs)</u>
\$72,000	\$94,000	\$22,000	\$11,000	Requested increase for FY22
				Requested increase for FY23
			<b>\$22,000</b>	<b>Total of Explanation of Biennial Change</b>

---

**SUMMARY TOTALS:**

<b>OBJECTS OF EXPENSE:</b>	<b>\$496,867</b>	<b>\$518,020</b>	<b>\$473,817</b>	<b>\$501,486</b>	<b>\$501,487</b>
<b>METHODS OF FINANCE (INCLUDING RIDERS):</b>				<b>\$501,486</b>	<b>\$501,487</b>
<b>METHODS OF FINANCE (EXCLUDING RIDERS):</b>	<b>\$496,867</b>	<b>\$518,020</b>	<b>\$473,817</b>	<b>\$501,486</b>	<b>\$501,487</b>
<b>FULL TIME EQUIVALENT POSITIONS:</b>	<b>7.0</b>	<b>7.0</b>	<b>7.0</b>	<b>7.0</b>	<b>7.0</b>

2.C.1. Operating Costs Detail ~ Base Request

Date: 9/10/2020  
Time: 12:46:26PM

Automated Budget and Evaluation System of Texas (ABEST)

Agency Code:                      Agency:

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BASE REQUEST STRATEGY:                      --

Code	Type of Expense
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Total, Operating Costs

**3.C. Rider Appropriations and Unexpended Balances Request**

DATE: 9/10/2020  
TIME: 12:46:26PM

Automated Budget and Evaluation System of Texas (ABEST)

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**Agency Code:**

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**RIDER**

**STRATEGY**

---

**METHOD OF FINANCING:**

**Total, Method of Financing**

**Description/Justification for continuation of existing riders or proposed new rider**

**3.C. Rider Appropriations and Unexpended Balances Request**

DATE: 9/10/2020  
TIME: 12:46:26PM

Automated Budget and Evaluation System of Texas (ABEST)

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**Agency Code:**

**RIDER**

**STRATEGY**

---

**SUMMARY:**

**OBJECT OF EXPENSE TOTAL**

**METHOD OF FINANCING TOTAL**

**4.A. Exceptional Item Request Schedule**  
87th Regular Session, Agency Submission, Version 1  
Automated Budget and Evaluation System of Texas (ABEST)

DATE: 9/10/2020  
TIME: 12:46:26PM

Agency code: 514

Agency name:

**Optometry Board**

CODE	DESCRIPTION	Excp 2022	Excp 2023
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Item Name: Relocation Expenses

Item Priority: 1

IT Component: No

Anticipated Out-year Costs: No

Involve Contracts > \$50,000: No

Includes Funding for the Following Strategy or Strategies: 01-01-01      Operate an Efficient & Comprehensive Licensure & Enforcement System

**OBJECTS OF EXPENSE:**

2009      OTHER OPERATING EXPENSE

5,100

0

**TOTAL, OBJECT OF EXPENSE**

**\$5,100**

**\$0**

**METHOD OF FINANCING:**

1      General Revenue Fund

5,100

0

**TOTAL, METHOD OF FINANCING**

**\$5,100**

**\$0**

**DESCRIPTION / JUSTIFICATION:**

If TFC does not move agency.

**EXTERNAL/INTERNAL FACTORS:**

To date, confirmation is needed on the details of the move from TFC.

**PCLS TRACKING KEY:**

**4.A. Exceptional Item Request Schedule**  
87th Regular Session, Agency Submission, Version 1  
Automated Budget and Evaluation System of Texas (ABEST)

DATE: 9/10/2020  
TIME: 12:46:26PM

Agency code: 514

Agency name:

**Optometry Board**

CODE	DESCRIPTION	Excp 2022	Excp 2023
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Item Name: IT equipment needed for moving to new building

Item Priority: 2

IT Component: Yes

Anticipated Out-year Costs: Yes

Involve Contracts > \$50,000: No

Includes Funding for the Following Strategy or Strategies: 01-01-01 Operate an Efficient & Comprehensive Licensure & Enforcement System

**OBJECTS OF EXPENSE:**

2009 OTHER OPERATING EXPENSE

24,060

24,060

**TOTAL, OBJECT OF EXPENSE**

**\$24,060**

**\$24,060**

**METHOD OF FINANCING:**

1 General Revenue Fund

24,060

24,060

**TOTAL, METHOD OF FINANCING**

**\$24,060**

**\$24,060**

**DESCRIPTION / JUSTIFICATION:**

If Board is not allowed to move servers to new building, then other option is DIR cloud based server hosting and new MS 365 software

**EXTERNAL/INTERNAL FACTORS:**

\$1,900 \* 12 for internet services; plus \$15\*7\*12 for banwitch

**PCLS TRACKING KEY:**

N/A

**DESCRIPTION OF IT COMPONENT INCLUDED IN EXCEPTIONAL ITEM:**

Texas Facilities Commission may not allow computer servers in the new Bush building. If so, then the Board must move to Cloud based servers, and purchase and annually renew MicroSoft 365 software, which is needed for the Cloud.

**IS THIS IT COMPONENT RELATED TO A NEW OR CURRENT PROJECT?**

NEW

**STATUS:**

Awaiting directives from the Texas Facilities Commission.

**OUTCOMES:**

If servers are allowed in new Bush building, then this exceptional item will not be needed.

**4.A. Exceptional Item Request Schedule**  
87th Regular Session, Agency Submission, Version 1  
Automated Budget and Evaluation System of Texas (ABEST)

DATE: 9/10/2020  
TIME: 12:46:26PM

Agency code: 514                      Agency name: Optometry Board

CODE	DESCRIPTION	Excp 2022	Excp 2023
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**OUTPUTS:**

Awaiting directives from TFC on whether servers will be allowed in Bush building.

**TYPE OF PROJECT**

Data Management / Data Warehousing

**ALTERNATIVE ANALYSIS**

If servers are allowed in Bush building, then this exceptional item will not be needed.

**ESTIMATED IT COST**

2020	2021	2022	2023	2024	2025	2026	Total Over Life of Project
\$0	\$0	\$24,060	\$24,060	\$24,060	\$24,060	\$24,060	\$120,300

**SCALABILITY**

2020	2021	2022	2023	2024	2025	2026	Total Over Life of Project
\$0	\$0	\$24,060	\$24,060	\$24,060	\$24,060	\$24,060	\$120,300

**FTE**

2020	2021	2022	2023	2024	2025	2026
0.0	0.0	0.0	0.0	0.0	0.0	0.0

**DESCRIPTION OF ANTICIPATED OUT-YEAR COSTS :**

I.T. Expenses if Board not allowed to move servers to new building, and required to use DIR Server hosting through Cloud.

**ESTIMATED ANTICIPATED OUT-YEAR COSTS FOR ITEM:**

2024	2025	2026
\$24,060	\$24,060	\$24,060



**4.A. Exceptional Item Request Schedule**  
87th Regular Session, Agency Submission, Version 1  
Automated Budget and Evaluation System of Texas (ABEST)

DATE: 9/10/2020  
TIME: 12:46:26PM

Agency code: 514                      Agency name: Optometry Board

CODE	DESCRIPTION	Excp 2022	Excp 2023
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	Item Name:	Modular Furniture needed for move	
	Item Priority:	3	
	IT Component:	No	
	Anticipated Out-year Costs:	No	
	Involve Contracts > \$50,000:	No	
	Includes Funding for the Following Strategy or Strategies:	01-01-01      Operate an Efficient & Comprehensive Licensure & Enforcement System	

**OBJECTS OF EXPENSE:**

2009	OTHER OPERATING EXPENSE	13,870	0
<b>TOTAL, OBJECT OF EXPENSE</b>		<b>\$13,870</b>	<b>\$0</b>

**METHOD OF FINANCING:**

1	General Revenue Fund	13,870	0
<b>TOTAL, METHOD OF FINANCING</b>		<b>\$13,870</b>	<b>\$0</b>

**DESCRIPTION / JUSTIFICATION:**

Additional funding needed if Board is required to pay for modular furniture for cubicles

**EXTERNAL/INTERNAL FACTORS:**

Out of ordinary expense needed to complete move

**PCLS TRACKING KEY:**

**4.A. Exceptional Item Request Schedule**  
87th Regular Session, Agency Submission, Version 1  
Automated Budget and Evaluation System of Texas (ABEST)

DATE: 9/10/2020  
TIME: 12:46:26PM

Agency code: 514                      Agency name: Optometry Board

CODE	DESCRIPTION	Excp 2022	Excp 2023
	<b>Item Name:</b> Increase for Health Professions Council Costs <b>Item Priority:</b> 4 <b>IT Component:</b> Yes <b>Anticipated Out-year Costs:</b> Yes <b>Involve Contracts &gt; \$50,000:</b> No <b>Includes Funding for the Following Strategy or Strategies:</b> 01-01-01      Operate an Efficient & Comprehensive Licensure & Enforcement System		
<b>OBJECTS OF EXPENSE:</b>			
2009	OTHER OPERATING EXPENSE	5,000	5,000
	<b>TOTAL, OBJECT OF EXPENSE</b>	<b>\$5,000</b>	<b>\$5,000</b>
<b>METHOD OF FINANCING:</b>			
1	General Revenue Fund	5,000	5,000
	<b>TOTAL, METHOD OF FINANCING</b>	<b>\$5,000</b>	<b>\$5,000</b>

**DESCRIPTION / JUSTIFICATION:**

The agency's required funding share of the Health Professions Council has been modified. In addition, the shared database operated by HPC should be updated to the current version. An additional programmer for the database is also needed.

**EXTERNAL/INTERNAL FACTORS:**

Changes in the membership of the Health Professions Council has required HPC to recalculate the contribution of each agency. This increased the agency's annual cost by \$5,000. The database vendor has released a newer version and the exception item is the agency's share of the update. Addition users of the database require an additional programmer to accommodate the increase.

**PCLS TRACKING KEY:**

N/A

**DESCRIPTION OF IT COMPONENT INCLUDED IN EXCEPTIONAL ITEM:**

Due to Sunset changes affecting the membership in the Health Professions Council (HPC), the Board's contribution to HPC will increase \$5,000 per year

**IS THIS IT COMPONENT RELATED TO A NEW OR CURRENT PROJECT?**

CURRENT

**STATUS:**

The Board is a member of HPC, and due to changes in membership, our contribution will increase \$5,000 per year. This will not affect any FTEs.

**4.A. Exceptional Item Request Schedule**  
87th Regular Session, Agency Submission, Version 1  
Automated Budget and Evaluation System of Texas (ABEST)

DATE: 9/10/2020  
TIME: 12:46:26PM

Agency code: 514                      Agency name: Optometry Board

CODE	DESCRIPTION	Excp 2022	Excp 2023
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**OUTCOMES:**

Board is required to make equitable contributions to HPC

**OUTPUTS:**

HPC manages and assist the Board I.T. system.

**TYPE OF PROJECT**

Daily Operations

**ALTERNATIVE ANALYSIS**

There is none. The Board is part of the HPC umbrella.

**ESTIMATED IT COST**

2020	2021	2022	2023	2024	2025	2026	Total Over Life of Project
\$5,000	\$5,000	\$5,000	\$5,000	\$5,000	\$5,000	\$5,000	\$35,000

**SCALABILITY**

2020	2021	2022	2023	2024	2025	2026	Total Over Life of Project
\$5,000	\$5,000	\$5,000	\$5,000	\$5,000	\$5,000	\$5,000	\$35,000

**FTE**

2020	2021	2022	2023	2024	2025	2026
0.0	0.0	0.0	0.0	0.0	0.0	0.0

**DESCRIPTION OF ANTICIPATED OUT-YEAR COSTS :**

Due to Sunset changes, membership has changed somewhat which resulted in reallocation of funds due. New formula means that the Board's contribution increased by \$5,000.

**ESTIMATED ANTICIPATED OUT-YEAR COSTS FOR ITEM:**

2024	2025	2026
\$5,000	\$5,000	\$5,000

**4.A. Exceptional Item Request Schedule**  
87th Regular Session, Agency Submission, Version 1  
Automated Budget and Evaluation System of Texas (ABEST)

DATE: 9/10/2020  
TIME: 12:46:26PM

Agency code: 514

Agency name:

**Optometry Board**

CODE	DESCRIPTION	Excp 2022	Excp 2023
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Item Name: Health Professions Council: Database additional expenditures

Item Priority: 5

IT Component: Yes

Anticipated Out-year Costs: No

Involve Contracts > \$50,000: No

Includes Funding for the Following Strategy or Strategies: 01-01-01 Operate an Efficient & Comprehensive Licensure & Enforcement System

**OBJECTS OF EXPENSE:**

2009 OTHER OPERATING EXPENSE

6,107

1,260

**TOTAL, OBJECT OF EXPENSE**

**\$6,107**

**\$1,260**

**METHOD OF FINANCING:**

1 General Revenue Fund

6,107

1,260

**TOTAL, METHOD OF FINANCING**

**\$6,107**

**\$1,260**

**DESCRIPTION / JUSTIFICATION:**

The database shared with other agencies should be upgraded to most current version. Because of an increase in the number of users, an additional computer programmer is needed for HPC

**EXTERNAL/INTERNAL FACTORS:**

Agency is to pay for share of upgrades

**PCLS TRACKING KEY:**

N/A

**DESCRIPTION OF IT COMPONENT INCLUDED IN EXCEPTIONAL ITEM:**

The database shared with other agencies should be upgraded to the most current version. Because of an increase in the number of users, an additional computer programmer is needed.

**IS THIS IT COMPONENT RELATED TO A NEW OR CURRENT PROJECT?**

CURRENT

**STATUS:**

Current project to supply database user with updated, efficient programs.

**OUTCOMES:**

Up-to-date database are more secure and more efficient.

**4.A. Exceptional Item Request Schedule**  
87th Regular Session, Agency Submission, Version 1  
Automated Budget and Evaluation System of Texas (ABEST)

DATE: 9/10/2020  
TIME: 12:46:26PM

Agency code: 514                      Agency name:  
**Optometry Board**

CODE	DESCRIPTION						Excp 2022	Excp 2023
OUTPUTS:								
100% reliability on upgraded database.								
TYPE OF PROJECT								
Network Services								
ALTERNATIVE ANALYSIS								
Partial funding will result in outdated database software								
ESTIMATED IT COST								
2020	2021	2022	2023	2024	2025	2026	Total Over Life of Project	
\$0	\$0	\$6,107	\$1,260	\$0	\$0	\$0	\$7,367	
SCALABILITY								
2020	2021	2022	2023	2024	2025	2026	Total Over Life of Project	
\$0	\$0	\$6,107	\$1,260	\$0	\$0	\$0	\$7,367	
FTE								
2020	2021	2022	2023	2024	2025	2026		
0.0	0.0	0.0	0.0	0.0	0.0	0.0		

**4.A. Exceptional Item Request Schedule**  
87th Regular Session, Agency Submission, Version 1  
Automated Budget and Evaluation System of Texas (ABEST)

DATE: 9/10/2020  
TIME: 12:46:26PM

Agency code: 514

Agency name:

**Optometry Board**

CODE	DESCRIPTION	Excp 2022	Excp 2023
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Item Name: Staff Salary Increases

Item Priority: 6

IT Component: No

Anticipated Out-year Costs: Yes

Involve Contracts > \$50,000: No

Includes Funding for the Following Strategy or Strategies:

01-01-01	Operate an Efficient & Comprehensive Licensure & Enforcement System
01-01-04	Indirect Administration

**OBJECTS OF EXPENSE:**

1001	SALARIES AND WAGES
1002	OTHER PERSONNEL COSTS

6,452	6,452
548	548

**TOTAL, OBJECT OF EXPENSE**

<b>\$7,000</b>	<b>\$7,000</b>
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**METHOD OF FINANCING:**

1	General Revenue Fund
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7,000	7,000
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**TOTAL, METHOD OF FINANCING**

<b>\$7,000</b>	<b>\$7,000</b>
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**DESCRIPTION / JUSTIFICATION:**

The salaries of staff members are well below average of similar state employees.

**EXTERNAL/INTERNAL FACTORS:**

The agency competes with other state agencies to maintain a well qualified staff. Turnover decreases the efficiencies of the agency.

**PCLS TRACKING KEY:**

**DESCRIPTION OF ANTICIPATED OUT-YEAR COSTS :**

Salary Increases

**4.A. Exceptional Item Request Schedule**  
87th Regular Session, Agency Submission, Version 1  
Automated Budget and Evaluation System of Texas (ABEST)

DATE: 9/10/2020  
TIME: 12:46:26PM

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Agency code: 514                      Agency name: Optometry Board

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CODE	DESCRIPTION	Excp 2022	Excp 2023
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**ESTIMATED ANTICIPATED OUT-YEAR COSTS FOR ITEM:**

2024	2025	2026
\$7,000	\$7,000	\$7,000

**4.A. Exceptional Item Request Schedule**  
87th Regular Session, Agency Submission, Version 1  
Automated Budget and Evaluation System of Texas (ABEST)

DATE: 9/10/2020  
TIME: 12:46:26PM

Agency code: 514 Agency name: Optometry Board

CODE	DESCRIPTION	Excp 2022	Excp 2023
<b>Item Name:</b> Partial Restoration of 5% Budget Reduction <b>Item Priority:</b> 7 <b>IT Component:</b> Yes <b>Anticipated Out-year Costs:</b> Yes <b>Involve Contracts &gt; \$50,000:</b> No <b>Includes Funding for the Following Strategy or Strategies:</b> 01-01-01 Operate an Efficient & Comprehensive Licensure & Enforcement System 01-01-03 National Practitioner Data Bank. Estimated and Nontransferable.			
2005	TRAVEL	1,500	1,500
2009	OTHER OPERATING EXPENSE	11,592	11,592
<b>TOTAL, OBJECT OF EXPENSE</b>		<b>\$13,092</b>	<b>\$13,092</b>
<b>METHOD OF FINANCING:</b>			
1	General Revenue Fund	13,092	13,092
<b>TOTAL, METHOD OF FINANCING</b>		<b>\$13,092</b>	<b>\$13,092</b>

**DESCRIPTION / JUSTIFICATION:**

State law requires the agency to pay the National Practitioners Data Bank for queries. The agency will be able to replace PC's to get closer to the recommended replacement schedule. Investigator travel increases the ability of the Board to properly inspect offices.

**EXTERNAL/INTERNAL FACTORS:**

The NPDB is waiving query fees for 2021, but they will be required in 2022 and 2023. Regular PC replacement is recommend by the Department of Information Resources. Some inspections must be conducted in person, which is a performance measure.

**PCLS TRACKING KEY:**

N/A

**DESCRIPTION OF IT COMPONENT INCLUDED IN EXCEPTIONAL ITEM:**

Majority of personal computers will be past recommended service life during biennium. Funds will replace 3 personal computers.

**IS THIS IT COMPONENT RELATED TO A NEW OR CURRENT PROJECT?**

CURRENT

**STATUS:**

Current project to supply employees with up to date personal computers and software. The 5% reduction eliminated funds that would have been used for this project.



**4.A. Exceptional Item Request Schedule**  
87th Regular Session, Agency Submission, Version 1  
Automated Budget and Evaluation System of Texas (ABEST)

DATE: 9/10/2020  
TIME: 12:46:26PM

Agency code: 514

Agency name:  
**Optometry Board**

CODE	DESCRIPTION	Excp 2022	Excp 2023
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**OUTCOMES:**

Up to date personal computers, and software are secure and more efficient. Agency's reliance on computers to access database and produce correspondence makes the continued functioning of personal computers a high priority. Over the life of this project the agency will save more than the cost of the exceptional item.

**OUTPUTS:**

The performance objective: 100% reliability of personal computers and software.

**TYPE OF PROJECT**

PC Replacement

**ALTERNATIVE ANALYSIS**

Partial funding will replace fewer computers leaving some of the computers out of date.

**ESTIMATED IT COST**

2020	2021	2022	2023	2024	2025	2026	Total Over Life of Project
\$0	\$0	\$2,500	\$2,500	\$0	\$0	\$0	\$4,000

**SCALABILITY**

2020	2021	2022	2023	2024	2025	2026	Total Over Life of Project
\$0	\$0	\$2,500	\$2,500	\$0	\$0	\$0	\$4,000

**FTE**

2020	2021	2022	2023	2024	2025	2026
0.0	0.0	0.0	0.0	0.0	0.0	0.0

**DESCRIPTION OF ANTICIPATED OUT-YEAR COSTS :**

Partial Restoration of 5% Reduction

**ESTIMATED ANTICIPATED OUT-YEAR COSTS FOR ITEM:**

2024	2025	2026
\$12,900	\$12,900	\$12,900

Agency code:	514	Agency name:	Optometry Board		
Code	Description			Excp 2022	Excp 2023
<b>Item Name:</b>	Relocation Expenses				
<b>Allocation to Strategy:</b>	1-1-1	Operate an Efficient & Comprehensive Licensure & Enforcement System			
<b>OBJECTS OF EXPENSE:</b>					
	2009	OTHER OPERATING EXPENSE		5,100	0
<b>TOTAL, OBJECT OF EXPENSE</b>				<b>\$5,100</b>	<b>\$0</b>
<b>METHOD OF FINANCING:</b>					
	1	General Revenue Fund		5,100	0
<b>TOTAL, METHOD OF FINANCING</b>				<b>\$5,100</b>	<b>\$0</b>

Agency code:	514	Agency name:	Optometry Board
Code	Description	Excp 2022	Excp 2023
Item Name:	IT equipment needed for moving to new building		
Allocation to Strategy:	1-1-1	Operate an Efficient & Comprehensive Licensure & Enforcement System	
OBJECTS OF EXPENSE:			
2009	OTHER OPERATING EXPENSE	24,060	24,060
TOTAL, OBJECT OF EXPENSE		\$24,060	\$24,060
METHOD OF FINANCING:			
1	General Revenue Fund	24,060	24,060
TOTAL, METHOD OF FINANCING		\$24,060	\$24,060

Agency code: <b>514</b>		Agency name: <b>Optometry Board</b>	
Code	Description	Excp 2022	Excp 2023
<b>Item Name:</b>		Modular Furniture needed for move	
<b>Allocation to Strategy:</b>		1-1-1 Operate an Efficient & Comprehensive Licensure & Enforcement System	
<b>OBJECTS OF EXPENSE:</b>			
	2009 OTHER OPERATING EXPENSE	13,870	0
<b>TOTAL, OBJECT OF EXPENSE</b>		<b>\$13,870</b>	<b>\$0</b>
<b>METHOD OF FINANCING:</b>			
	1 General Revenue Fund	13,870	0
<b>TOTAL, METHOD OF FINANCING</b>		<b>\$13,870</b>	<b>\$0</b>

Agency code: **514** Agency name: **Optometry Board**

Code	Description	Excp 2022	Excp 2023
<b>Item Name:</b>		Increase for Health Professions Council Costs	
<b>Allocation to Strategy:</b>		1-1-1 Operate an Efficient & Comprehensive Licensure & Enforcement System	
<b>OBJECTS OF EXPENSE:</b>			
	2009 OTHER OPERATING EXPENSE	5,000	5,000
<b>TOTAL, OBJECT OF EXPENSE</b>		<b>\$5,000</b>	<b>\$5,000</b>
<b>METHOD OF FINANCING:</b>			
	1 General Revenue Fund	5,000	5,000
<b>TOTAL, METHOD OF FINANCING</b>		<b>\$5,000</b>	<b>\$5,000</b>

Agency code:	514	Agency name:	Optometry Board		
Code	Description			Excp 2022	Excp 2023
Item Name:	Health Professions Council: Database additional expenditures				
Allocation to Strategy:	1-1-1	Operate an Efficient & Comprehensive Licensure & Enforcement System			
OBJECTS OF EXPENSE:					
2009	OTHER OPERATING EXPENSE			6,107	1,260
TOTAL, OBJECT OF EXPENSE				\$6,107	\$1,260
METHOD OF FINANCING:					
1	General Revenue Fund			6,107	1,260
TOTAL, METHOD OF FINANCING				\$6,107	\$1,260

Agency code:	514	Agency name:	Optometry Board		
Code	Description			Excp 2022	Excp 2023
<b>Item Name:</b>	Staff Salary Increases				
<b>Allocation to Strategy:</b>	1-1-1	Operate an Efficient & Comprehensive Licensure & Enforcement System			
<b>OBJECTS OF EXPENSE:</b>					
	1001	SALARIES AND WAGES		6,129	6,129
	1002	OTHER PERSONNEL COSTS		521	521
<b>TOTAL, OBJECT OF EXPENSE</b>				<b>\$6,650</b>	<b>\$6,650</b>
<b>METHOD OF FINANCING:</b>					
	1	General Revenue Fund		6,650	6,650
<b>TOTAL, METHOD OF FINANCING</b>				<b>\$6,650</b>	<b>\$6,650</b>

Agency code: **514** Agency name: **Optometry Board**

Code	Description	Excp 2022	Excp 2023
<b>Item Name:</b> Staff Salary Increases			
<b>Allocation to Strategy:</b> 1-1-4 Indirect Administration			
<b>OBJECTS OF EXPENSE:</b>			
1001	SALARIES AND WAGES	323	323
1002	OTHER PERSONNEL COSTS	27	27
<b>TOTAL, OBJECT OF EXPENSE</b>		<b>\$350</b>	<b>\$350</b>
<b>METHOD OF FINANCING:</b>			
1	General Revenue Fund	350	350
<b>TOTAL, METHOD OF FINANCING</b>		<b>\$350</b>	<b>\$350</b>



Agency code: <b>514</b>		Agency name: <b>Optometry Board</b>	
Code	Description	Excp 2022	Excp 2023
<b>Item Name:</b>		Partial Restoration of 5% Budget Reduction	
<b>Allocation to Strategy:</b>		1-1-1 Operate an Efficient & Comprehensive Licensure & Enforcement System	
<b>OBJECTS OF EXPENSE:</b>			
2005	TRAVEL	1,500	1,500
2009	OTHER OPERATING EXPENSE	2,500	2,500
<b>TOTAL, OBJECT OF EXPENSE</b>		<b>\$4,000</b>	<b>\$4,000</b>
<b>METHOD OF FINANCING:</b>			
1	General Revenue Fund	4,000	4,000
<b>TOTAL, METHOD OF FINANCING</b>		<b>\$4,000</b>	<b>\$4,000</b>

Agency code:	514	Agency name:	Optometry Board
Code	Description	Excp 2022	Excp 2023
Item Name:	Partial Restoration of 5% Budget Reduction		
Allocation to Strategy:	1-1-3	National Practitioner Data Bank. Estimated and Nontransferable.	
OBJECTS OF EXPENSE:			
2009	OTHER OPERATING EXPENSE	9,092	9,092
TOTAL, OBJECT OF EXPENSE		\$9,092	\$9,092
METHOD OF FINANCING:			
1	General Revenue Fund	9,092	9,092
TOTAL, METHOD OF FINANCING		\$9,092	\$9,092

**4.C. Exceptional Items Strategy Request**  
87th Regular Session, Agency Submission, Version 1  
Automated Budget and Evaluation System of Texas (ABEST)

**DATE:** 9/10/2020  
**TIME:** 12:46:27PM

Agency Code: **514** Agency name: **Optometry Board**

GOAL: 1 Manage Quality Program of Examination and Licensure, Enforce Statutes

OBJECTIVE: 1 Operate Licensing and Enforcement System to Ensure Standards Are Met

STRATEGY: 1 Operate an Efficient & Comprehensive Licensure & Enforcement System

Service Categories:

Service: 16 Income: A.2 Age: B.3

CODE DESCRIPTION	Excp 2022	Excp 2023
------------------	-----------	-----------

**STRATEGY IMPACT ON OUTCOME MEASURES:**

1 Percent of Licensees with No Recent Violations	15.00 %	15.00 %
2 Percent of Complaints Resulting in Disciplinary Action	97.00 %	97.00 %
4 Percent of Documented Complaints Resolved within Six Months	76.00 %	76.00 %
5 Percent Licensees Meeting Continuing Education Requirements	98.00 %	98.00 %
6 Percent of Licensees Who Renew Online	90.00 %	90.00 %

**OUTPUT MEASURES:**

1 Number of New Licenses Issued to Individuals	189.00	189.00
2 Number of Licenses Renewed (Individuals)	4,260.00	4,260.00
3 Number of Complaints Resolved	140.00	140.00
4 Number of Continuing Education Courses Approved	650.00	650.00
5 Number of Investigations Conducted	63.00	63.00

**EFFICIENCY MEASURES:**

1 Average Time for Complaint Resolution	115.00	115.00
2 Percent New Licenses Issued within 10 Days	100.00 %	100.00 %
3 Percent of Individual License Renewals within Seven Days	95.00 %	95.00 %

**EXPLANATORY/INPUT MEASURES:**

1 Total Number of Individuals Licensed	4,380.00	4,380.00
2 Pass Rate	99.00 %	99.00 %
3 Number of Jurisdictional Complaints Received	145.00	145.00

**OBJECTS OF EXPENSE:**

1001 SALARIES AND WAGES	6,129	6,129
1002 OTHER PERSONNEL COSTS	521	521

**4.C. Exceptional Items Strategy Request**  
87th Regular Session, Agency Submission, Version 1  
Automated Budget and Evaluation System of Texas (ABEST)

**DATE:** 9/10/2020  
**TIME:** 12:46:27PM

Agency Code: **514** Agency name: **Optometry Board**

GOAL: 1 Manage Quality Program of Examination and Licensure, Enforce Statutes  
OBJECTIVE: 1 Operate Licensing and Enforcement System to Ensure Standards Are Met  
STRATEGY: 1 Operate an Efficient & Comprehensive Licensure & Enforcement System

Service Categories:

Service: 16 Income: A.2 Age: B.3

CODE	DESCRIPTION	Excp 2022	Excp 2023
2005	TRAVEL	1,500	1,500
2009	OTHER OPERATING EXPENSE	56,637	32,820
<b>Total, Objects of Expense</b>		<b>\$64,787</b>	<b>\$40,970</b>

**METHOD OF FINANCING:**

1	General Revenue Fund	64,787	40,970
<b>Total, Method of Finance</b>		<b>\$64,787</b>	<b>\$40,970</b>

**EXCEPTIONAL ITEM(S) INCLUDED IN STRATEGY:**

Relocation Expenses  
IT equipment needed for moving to new building  
Modular Furniture needed for move  
Increase for Health Professions Council Costs  
Health Professions Council: Database additional expenditures  
Staff Salary Increases  
Partial Restoration of 5% Budget Reduction

**4.C. Exceptional Items Strategy Request**  
87th Regular Session, Agency Submission, Version 1  
Automated Budget and Evaluation System of Texas (ABEST)

**DATE:** 9/10/2020  
**TIME:** 12:46:27PM

Agency Code: **514** Agency name: **Optometry Board**

GOAL: 1 Manage Quality Program of Examination and Licensure, Enforce Statutes  
OBJECTIVE: 1 Operate Licensing and Enforcement System to Ensure Standards Are Met  
STRATEGY: 3 National Practitioner Data Bank. Estimated and Nontransferable.

Service Categories:

Service: 16 Income: A.2 Age: B.3

CODE DESCRIPTION	Excp 2022	Excp 2023
------------------	-----------	-----------

**STRATEGY IMPACT ON OUTCOME MEASURES:**

1 Percent of Licensees with No Recent Violations	97.00 %	97.00 %
2 Percent of Complaints Resulting in Disciplinary Action	15.00 %	15.00 %
4 Percent of Documented Complaints Resolved within Six Months	76.00 %	76.00 %
5 Percent Licensees Meeting Continuing Education Requirements	98.00 %	98.00 %
6 Percent of Licensees Who Renew Online	90.00 %	90.00 %

**OBJECTS OF EXPENSE:**

2009 OTHER OPERATING EXPENSE	9,092	9,092
<b>Total, Objects of Expense</b>	<b>\$9,092</b>	<b>\$9,092</b>

**METHOD OF FINANCING:**

1 General Revenue Fund	9,092	9,092
<b>Total, Method of Finance</b>	<b>\$9,092</b>	<b>\$9,092</b>

**EXCEPTIONAL ITEM(S) INCLUDED IN STRATEGY:**

Partial Restoration of 5% Budget Reduction

**4.C. Exceptional Items Strategy Request**  
87th Regular Session, Agency Submission, Version 1  
Automated Budget and Evaluation System of Texas (ABEST)

**DATE:** 9/10/2020  
**TIME:** 12:46:27PM

Agency Code: **514** Agency name: **Optometry Board**

GOAL: 1 Manage Quality Program of Examination and Licensure, Enforce Statutes  
OBJECTIVE: 1 Operate Licensing and Enforcement System to Ensure Standards Are Met  
STRATEGY: 4 Indirect Administration

Service Categories:

Service: 09 Income: A.2 Age: B.3

CODE DESCRIPTION	Excp 2022	Excp 2023
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**OBJECTS OF EXPENSE:**

1001 SALARIES AND WAGES	323	323
1002 OTHER PERSONNEL COSTS	27	27
<b>Total, Objects of Expense</b>	<b>\$350</b>	<b>\$350</b>

**METHOD OF FINANCING:**

1 General Revenue Fund	350	350
<b>Total, Method of Finance</b>	<b>\$350</b>	<b>\$350</b>

**EXCEPTIONAL ITEM(S) INCLUDED IN STRATEGY:**

Staff Salary Increases

# 5.A. Capital Budget Project Schedule

DATE: 9/10/2020  
TIME : 12:46:28PM

Automated Budget and Evaluation System of Texas (ABEST)

Agency code:

Agency name:

Category Code / Category Name

Project Sequence/Project Id/ Name

OOE / TOF / MOF CODE

Est 2020

Bud 2021

BL 2022

BL 2023

/

OBJECTS OF EXPENSE

TYPE OF FINANCING

Others

Subtotal TOF, Project

Subtotal OOE, Project

Capital Subtotal, Category

Informational Subtotal, Category

**Total, Category**

AGENCY TOTAL -CAPITAL

AGENCY TOTAL -INFORMATIONAL

AGENCY TOTAL

METHOD OF FINANCING:

Others

## 5.A. Capital Budget Project Schedule

DATE: 9/10/2020  
TIME : 12:46:28PM

Automated Budget and Evaluation System of Texas (ABEST)

Agency code:

Agency name:

Category Code / Category Name

*Project Sequence/Project Id/ Name*

OOE / TOF / MOF CODE

Est 2020

Bud 2021

BL 2022

BL 2023

TYPE OF FINANCING:

Others

Total, Type of Financing



## 5.B. Capital Budget Project Information

DATE: 9/10/2020  
TIME: 12:46:28PM

Automated Budget and Evaluation System of Texas (ABEST)

Agency Code:

Agency name:

Category Number:

Category Name:

Project number:

Project Name:

### **PROJECT DESCRIPTION**

#### **General Information**

Number of Units / Average Unit Cost

Estimated Completion Date

Additional Capital Expenditure Amounts Required

Type of Financing

Projected Useful Life

Estimated/Actual Project Cost

Length of Financing/ Lease Period

**ESTIMATED/ACTUAL DEBT OBLIGATION PAYMENTS**

**Total over  
project life**

### **REVENUE GENERATION / COST SAVINGS**

**Explanation:**

**Project Location:**

**Beneficiaries:**

**Frequency of Use and External Factors Affecting Use:**

5.C. Capital Budget Allocation to Strategies (Baseline)

DATE: 9/10/2020  
TIME: 12:46:29PM

Automated Budget and Evaluation System of Texas (ABEST)

Agency code:

Agency name:

Category Code/Name

*Project Sequence/Project Id/Name*

Goal/Obj/Str

Strategy Name

/

--

TOTAL, PROJECT

TOTAL CAPITAL, ALL PROJECTS

TOTAL INFORMATIONAL, ALL PROJECTS

TOTAL, ALL PROJECTS

Automated Budget and Evaluation System of Texas (ABEST)

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Agency Code:  
Project Number:

Agency name:  
Project name:

Operating Expenses Estimates (For Information Only)

**CODE DESCRIPTION**

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**OPERATING COSTS DESCRIPTION AND JUSTIFICATION:**

Automated Budget and Evaluation System of Texas (ABEST)

Category Code/Name	
Project Sequence/Name	
Goal/Obj/Str	Strategy Name



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Automated Budget and Evaluation System of Texas (ABEST)

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**TOTAL,**  
**TOTAL, ALL PROJECTS**

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**6.A. Historically Underutilized Business Supporting Schedule**  
87th Regular Session, Agency Submission, Version 1  
Automated Budget and Evaluation System of Texas (ABEST)

Date: **9/10/2020**  
Time: **12:46:29PM**

Agency Code: **514**      Agency: **Optometry Board**

COMPARISON TO STATEWIDE HUB PROCUREMENT GOALS

**A. Fiscal Year - HUB Expenditure Information**

Statewide HUB Goals	Procurement Category	% Goal	HUB Expenditures FY 2018			Total Expenditures		HUB Expenditures FY 2019			Total Expenditures	
			% Actual	Diff	Actual \$	FY 2018	% Goal	% Actual	Diff	Actual \$	FY 2019	
11.2%	Heavy Construction	11.2 %	0.0%	-11.2%	\$0	\$0	11.2 %	0.0%	-11.2%	\$0	\$0	
21.1%	Building Construction	21.1 %	0.0%	-21.1%	\$0	\$0	21.1 %	0.0%	-21.1%	\$0	\$0	
32.9%	Special Trade	32.9 %	0.0%	-32.9%	\$0	\$0	32.9 %	0.0%	-32.9%	\$0	\$0	
23.7%	Professional Services	23.7 %	100.0%	76.3%	\$3,008	\$3,008	23.7 %	100.0%	76.3%	\$2,789	\$2,789	
26.0%	Other Services	26.0 %	0.0%	-26.0%	\$0	\$37,084	26.0 %	0.0%	-26.0%	\$0	\$38,569	
21.1%	Commodities	21.1 %	80.7%	59.6%	\$5,156	\$6,389	21.1 %	51.7%	30.6%	\$9,545	\$18,475	
	<b>Total Expenditures</b>		<b>17.6%</b>		<b>\$8,164</b>	<b>\$46,481</b>		<b>20.6%</b>		<b>\$12,334</b>	<b>\$59,833</b>	

**B. Assessment of Fiscal Year - Efforts to Meet HUB Procurement Goals**

**Attainment:**

In FY2019, the agency utilized HUB for 100% of professional service contracts and 51.67% of the agency's commodity purchases (non term contract) were through HUB vendors. The agency was not able to meet its goal for other services of 26% HUB purchases.

**Applicability:**

The objective of the Texas Optometry Board is to utilize HUB as vendors for 23.7% of professional service contracts, 26% of other services contracts, and 21.1% of commodity contracts.

**Factors Affecting Attainment:**

In FY2019 the agency's HUB report lists a significant expenditure in other services of \$36,000 paid to a vendor for the agency's Peer Assistance Program. When the contract for the program was bid by the Comptroller, only one bid was received, and the vendor is not a HUB. Because of this expenditure, the agency was not able to meet the goal for this type of purchase. The agency will continue to attempt to award contracts to vendors qualifying as a HUB, whenever possible.

**"Good-Faith" Efforts:**

The agency will continue to contact historically underutilized businesses through the use of the web site of the Comptroller of Public Accounts. When three bids are required, the agency will contact at least two HUB businesses, one woman-owned and another minority-owned. The agency follows the guidelines of the Comptroller and accepts the lowest and best bid as well as consideration of availability of the purchase.

Automated Budget and Evaluation System of Texas (ABEST)

CFDA NUMBER/ STRATEGY

- -

TOTAL, ALL STRATEGIES

ADDL FED FND\$ FOR EMPL BENEFITS

TOTAL, FEDERAL FUNDS

ADDL GR FOR EMPL BENEFITS

== ==

Automated Budget and Evaluation System of Texas (ABEST)

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**CFDA NUMBER/ STRATEGY**

---

**SUMMARY LISTING OF FEDERAL PROGRAM AMOUNTS****TOTAL, ALL STRATEGIES****TOTAL, ADDL FED FUNDS FOR EMPL BENEFITS****TOTAL, FEDERAL FUNDS****TOTAL, ADDL GR FOR EMPL BENEFITS****SUMMARY OF SPECIAL CONCERNS/ISSUES**

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**Assumptions and Methodology:**

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**Potential Loss:**

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**6.D. Federal Funds Tracking Schedule**

DATE: 9/10/2020  
TIME : 12:46:30PM

Automated Budget and Evaluation System of Texas (ABEST)

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Agency code:

Agency name:

**Federal  
FY**

**Total**

**Difference  
from Award**

---

**CFDA**

---

**Total**

---

**6.E. Estimated Revenue Collections Supporting Schedule**  
87th Regular Session, Agency Submission, Version 1  
Automated Budget and Evaluation System of Texas (ABEST)

Agency Code: **514** Agency name: **Optometry Board**

FUND/ACCOUNT		Act 2019	Exp 2020	Est 2021	Est 2022	Est 2023
<b><u>1</u></b>	<b>General Revenue Fund</b>					
	Beginning Balance (Unencumbered):	\$0	\$0	\$0	\$0	\$0
	Estimated Revenue:					
	3562 Health Related Profession Fees	842,922	856,956	869,582	882,657	891,307
	3570 Peer Assistance Prog Fees	45,920	47,260	47,000	47,000	47,000
	3752 Sale of Publications/Advertising	5,980	7,045	6,000	6,000	6,000
	3765 Supplies/Equipment/Services	50,245	53,700	48,000	48,000	48,000
	3770 Administrative Penalties	13,650	6,000	6,000	6,000	6,000
	3790 Deposit to Trust or Suspense	147,167	154,054	154,000	154,000	154,000
	Subtotal: Actual/Estimated Revenue	1,105,884	1,125,015	1,130,582	1,143,657	1,152,307
	<b>Total Available</b>	<b>\$1,105,884</b>	<b>\$1,125,015</b>	<b>\$1,130,582</b>	<b>\$1,143,657</b>	<b>\$1,152,307</b>
<b>DEDUCTIONS:</b>						
	Expended/Budgeted/Requested	(496,868)	(530,728)	(508,268)	(490,486)	(490,487)
	Employee Benefits	(102,694)	(108,783)	(112,000)	(118,000)	(120,000)
	<b>Total, Deductions</b>	<b>\$(599,562)</b>	<b>\$(639,511)</b>	<b>\$(620,268)</b>	<b>\$(608,486)</b>	<b>\$(610,487)</b>
<b>Ending Fund/Account Balance</b>						
		<b>\$506,322</b>	<b>\$485,504</b>	<b>\$510,314</b>	<b>\$535,171</b>	<b>\$541,820</b>

**REVENUE ASSUMPTIONS:**

**CONTACT PERSON:**

Mark T Patterson

**6.F.a. Advisory Committee Supporting Schedule ~ Part A**

87th Regular Session, Agency Submission, Version 1  
Automated Budget and Evaluation System of Texas (ABEST)

Date: 9/10/2020  
Time: 12:46:32PM

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Agency Code: **514**      Agency: **Optometry Board**

Statutory Authorization:  
Number of Members:  
Committee Status:  
Date Created:  
Date to Be Abolished:  
Strategy (Strategies):

---

<b>Advisory Committee Costs</b>	<b>Expended Exp 2019</b>	<b>Estimated Est 2020</b>	<b>Budgeted Bud 2021</b>	<b>Requested BL 2022</b>	<b>Requested BL 2023</b>
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Method of Financing

**Meetings Per Fiscal Year**

**6.F.a. Advisory Committee Supporting Schedule ~ Part A**

87th Regular Session, Agency Submission, Version 1  
Automated Budget and Evaluation System of Texas (ABEST)

Date: 9/10/2020  
Time: 12:46:32PM

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Agency Code: **514**      Agency: **Optometry Board**

**Description and Justification for Continuation/Consequences of Abolishing**

**6.F.b. Advisory Committee Supporting Schedule ~ Part B**

87th Regular Session, Agency Submission, Version 1  
Automated Budget and Evaluation System of Texas (ABEST)

Date: **9/10/2020**  
Time: **12:46:33PM**

Agency Code: **514**      Agency: **Optometry Board**

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ADVISORY COMMITTEES THAT SHOULD BE ABOLISHED/CONSOLIDATED

**Reasons for Abolishing**

Automated Budget and Evaluation System of Texas (ABEST)

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Agency code: **514**      Agency name:

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CODE	DESCRIPTION
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**TOTAL, OBJECTS OF EXPENSE**

**TOTAL, METHOD OF FINANCE**

**FULL-TIME-EQUIVALENT POSITIONS**

Automated Budget and Evaluation System of Texas (ABEST)

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Agency code: **514**      Agency name:

CODE	DESCRIPTION
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Automated Budget and Evaluation System of Texas (ABEST)

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Agency code: **514**      Agency name:

CODE	DESCRIPTION
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**6.K. Part A Budgetary Impacts Related to Recently Enacted State Legislation Schedule**

DATE: **9/10/2020**

TIME: **12:46:33PM**

Automated Budget and Evaluation System of Texas (ABEST)

---

Agency code:

Agency name:

---

**Expanded or New Initiative:**

**Legal Authority for Item:**

**Description/Key Assumptions (including start up/implementation costs and ongoing costs):**

**State Budget by Program:**

**IT Component:**

**Involve Contracts > \$50,000:**

**TOTAL FTES**

**Description of IT Component Included in New or Expanded Initiative:**

**Is this IT component a New or Current Project?**

**FTEs related to IT Component?**

**Proposed Software:**

**Proposed Hardware:**

**Development Cost and Other Costs:**

**Type of Project:**

**6.K. Part A Budgetary Impacts Related to Recently Enacted State Legislation Schedule**

DATE: **9/10/2020**

TIME: **12:46:33PM**

Automated Budget and Evaluation System of Texas (ABEST)

---

Agency code:

Agency name:

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**Estimated IT Cost:**

**Total Over Life of Project**

**Contract Description:**

**6.K. Part B Summary of Costs Related to Recently Enacted State Legislation Schedule**

DATE: **9/10/2020**

TIME: **12:46:33PM**

Automated Budget and Evaluation System of Texas (ABEST)

---

Agency code:

Agency name:

**ITEM EXPANDED OR NEW INITIATIVE**

---

**Total, Cost Related to Expanded or New Initiatives**

**METHOD OF FINANCING**

**Total, Method of Financing**

**FULL-TIME-EQUIVALENTS (FTES):**

### 7.A. Indirect Administrative and Support Costs

9/10/2020 12:46:34PM

Automated Budget and Evaluation System of Texas (ABEST)

7.A. Page 1 of 2

**7.A. Indirect Administrative and Support Costs**

9/10/2020 12:46:34PM

Automated Budget and Evaluation System of Texas (ABEST)

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**GRAND TOTALS**

**Full-Time-Equivalent Positions (FTE)**

**7.B. Direct Administrative and Support Costs**

DATE: 9/10/2020  
TIME : 12:46:34PM

Automated Budget and Evaluation System of Texas (ABEST)

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Agency code:

Agency name:

---

Automated Budget and Evaluation System of Texas (ABEST)

---

Agency code:

Agency name:

---

**GRAND TOTALS**

**Full-Time-Equivalent Positions (FTE)**